

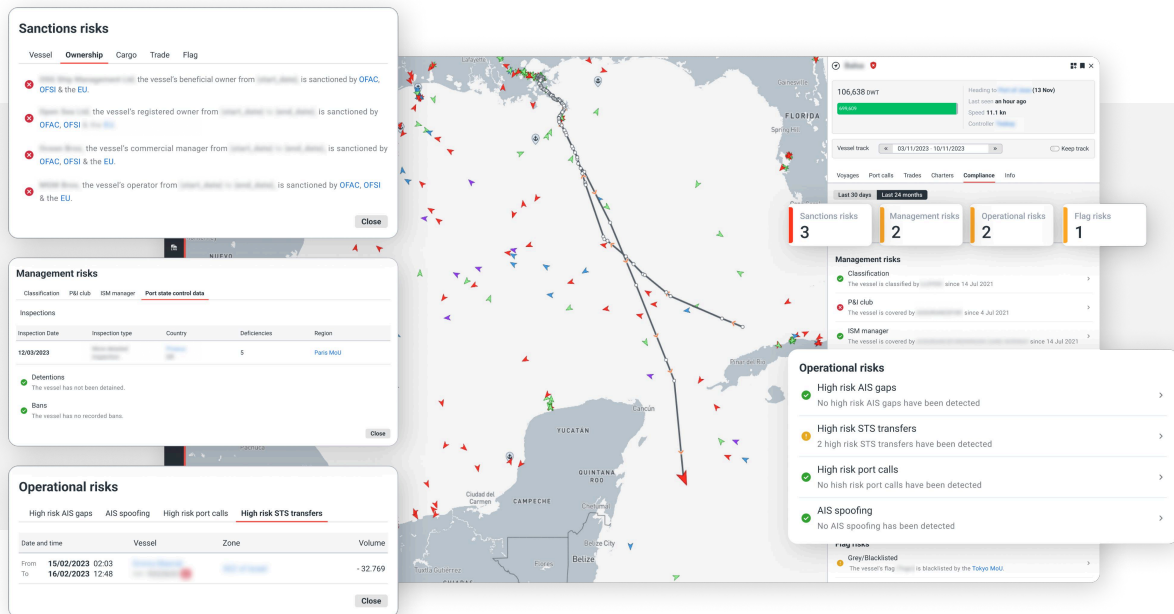
# Risk & Compliance

**Mitigate risk with trusted data**

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# Intelligence tools for trade



Kpler Risk & Compliance solution helps commodity market professionals navigate interconnected global markets by providing comprehensive compliance screening within a single platform.

Kpler's services encompass a broad range of real-time data and analytics offerings tailored to the commodities and energy sectors for physical and financial market professionals. The company has carved a niche for itself by specialising in the provision of transparent, actionable intelligence across 40+ commodities.

Kpler's services are integral for a wide range of clients, including traders, shipping companies, financial institutions, and energy producers. The company's ability to offer real-time, actionable insights helps these stakeholders optimise their operations, mitigate risks, and capitalise on market opportunities. Through its comprehensive suite of services, Kpler facilitates more informed and efficient commodity trading, risk management, and corporate evaluation.



**40 commodities**  
covered



**2 years+** sanctions  
and risk history



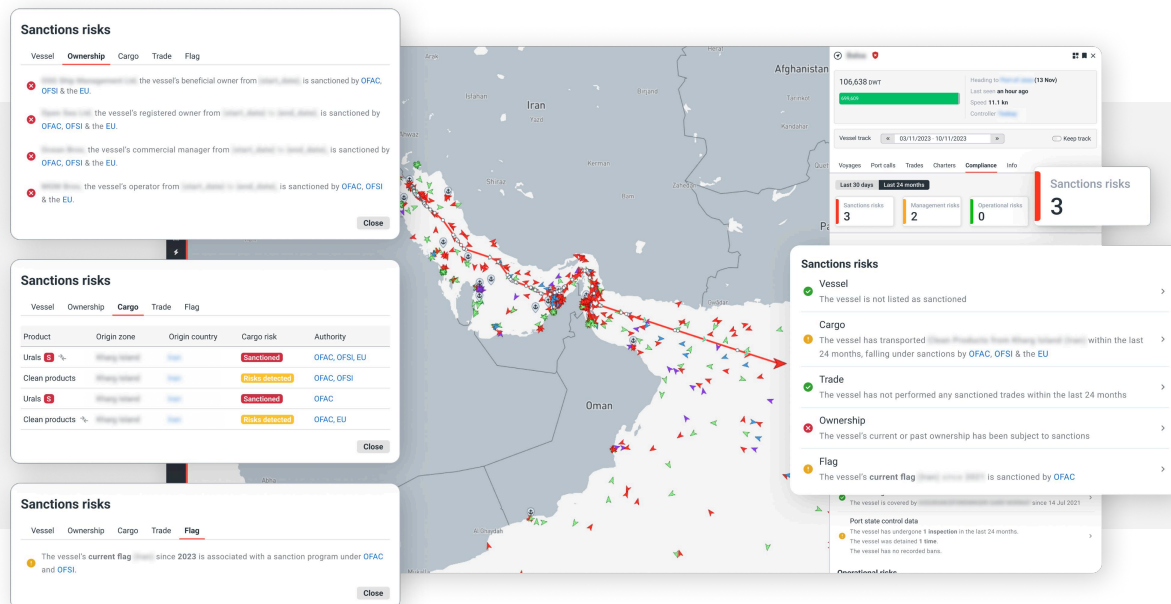
**2m+** trades  
monitored



**1 billion+** AIS signals  
processed daily

# Sanctions risks

Full sanctions screening on one platform.



Access comprehensive updates on sanctioned vessels, entities, and the cargo they are handling to avoid putting your business at risk. Review sanctions data from all the major authorities such as OFAC, OFSI, and the EU, with direct access to the consolidated lists.

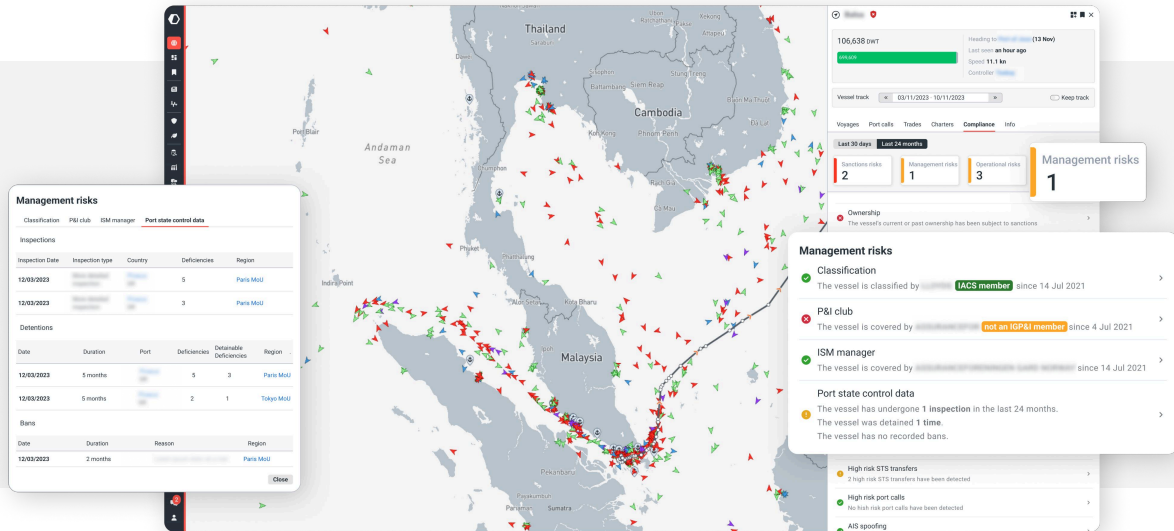
Confidently screen the vessel's ownership ties before engaging in trading activities to avoid potential reputational damage and financial loss. This proactive approach safeguards your investments and reinforces your commitment to ethical trading practices.

Monitor sanctioned cargo movements and trades across over 40 commodities, ensuring comprehensive oversight to protect your business and trading activities from potential risks. Stay informed and proactive in managing the complexities of international trade, mitigating any threats to your operations and financial stability.

Stay on top of vessels registered under sanctioned flag states, maintaining a proactive approach to ensure strict compliance with international laws in all your business engagements.

# Management risks

Classification and insurance insights for complete due diligence.



Enhance your fleet's safety and compliance by verifying that vessels are registered with a recognised classification society. This ensures adherence to critical international safety benchmarks, maintaining high safety standards across your fleet.

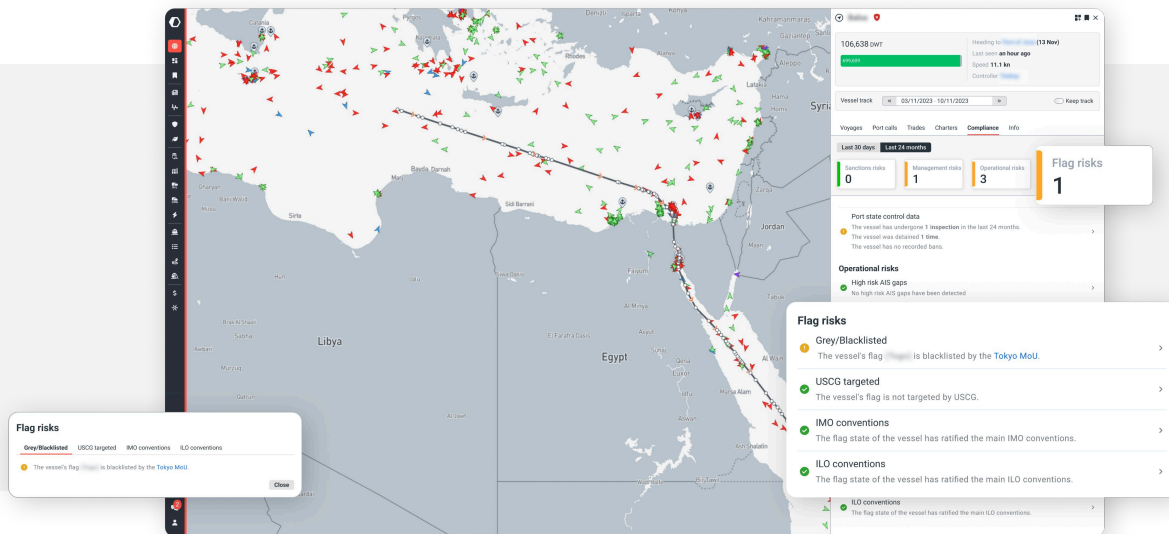
Ensure each vessel carries valid Protection & Indemnity (P&I) coverage for maritime liabilities, including pollution, cargo damage, and crew injuries.

Review the vessel's ISM manager, addressing potential risks stemming from substandard operations or inadequate certifications.

Access and review Port State Control (PSC) inspection results to ensure vessels comply with the latest safety, security, and environmental regulations, quickly identifying any compliance gaps.

# Flag risks

Flag state risks uncovered.



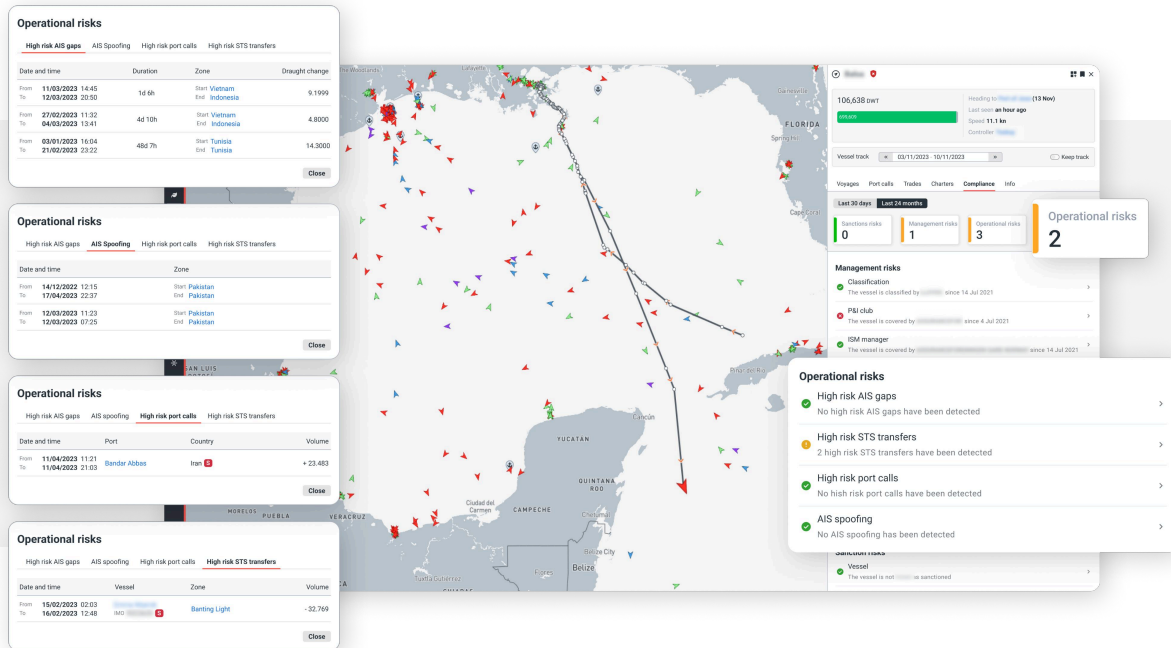
Identify vessels flying flags that have not ratified essential IMO safety and pollution conventions or ILO seafarer welfare conventions, highlighting potential compliance gaps with ease.

Stay informed about ships registered under flags of convenience, which often result in poor enforcement of international standards and increased risk of non-compliance in your operations.

Quickly determine if a vessel's flag state is greylisted or blacklisted by Port State Control MoUs or targeted by the USCG, indicating a higher risk profile. Effectively evaluate trade risks to ensure that your engagements align with safety and compliance standards.

# Operational risks

Deceptive shipping practices identified.



Utilise the world's largest AIS network to achieve accurate monitoring of all vessel operations. This extensive network ensures unparalleled coverage and precise tracking, delivering detailed insights into maritime activities.

Monitor AIS gaps and spoofing effectively, identifying suspicious or non-compliant behaviours with high precision.

Gain visibility into unusual and suspicious ship-to-ship transfers including those associated with sanctioned cargo. Identify deviations from usual trade lanes with confidence. Minimise false positives, allowing you to focus on significant threats more accurately.

Stay informed with alerts for port calls in sanctioned countries and any loading or discharge events involving sanctioned cargo.

Confidently screen potential trading partners and cargo, mitigating risks associated with violations of international law or involvement in illegal activities.



# How you can benefit

01

## **Full sanctions screening:**

Get updates on sanctioned vessels and entities from OFAC, OFSI, and the EU. Confidently screen vessels before trading to avoid restrictions.

02

## **Easy due diligence:**

Verify ships with recognised classification societies and ISM managers. Ensure compliance with international safety standards on a single platform.

03

## **Uncover complex ownership:**

Access vessel complex ownership layers, inspection, and insurance data. Make informed decisions to enhance trading strategy and planning.

04

## **Monitor suspicious activity:**

Use the largest AIS network for precise vessel monitoring. Detect sanctioned cargo transfers and trade lane deviations accurately.

05

## **Analyse seasonality:**

Leverage two years of historical data for risk assessments. Track ship activities to ensure compliance and strategic forecasting.

06

## **Avoid penalties:**

Ensure vessels meet safety, security, and environmental regulations. Mitigate risks and maintain your market reputation by avoiding legal issues.



# Who can benefit

## Commodity traders

Commodity traders can monitor sanctioned cargo movements across 40+ commodities to mitigate trading risks. By screening vessels and entities before transactions, they can ensure compliance and avoid disruptions in their supply chain, allowing for more secure and strategic trading decisions.

## Financial analysts

Financial analysts can analyse detailed vessel ownership, inspection, and insurance data to assess investment risks. By understanding the compliance status and risk profile of maritime assets, they can make more informed and confident financial decisions.

## Ship Owners

Ship owners can verify that their vessels meet international safety and pollution conventions to avoid penalties. By maintaining high safety standards and ensuring compliance with the latest regulations, they can protect their investment and operational integrity.

## Charterers

Charterers can screen potential vessels for compliance with international laws and regulations. By selecting vessels that are properly insured and meet safety benchmarks, they can avoid costly delays and trade restrictions, ensuring smooth and efficient chartering operations.

## Government entities

Government entities can track and monitor sanctioned vessels and cargo to enforce compliance with national and international regulations. By ensuring maritime activities within their jurisdiction adhere to legal standards, they can prevent illicit activities and enhance security.

## Port Authorities

Port authorities can identify vessels with compliance gaps or under flags of convenience before they enter the port. By ensuring all incoming vessels meet rigorous safety and environmental standards, they can enhance port safety and security, maintaining the integrity and reputation of the port.

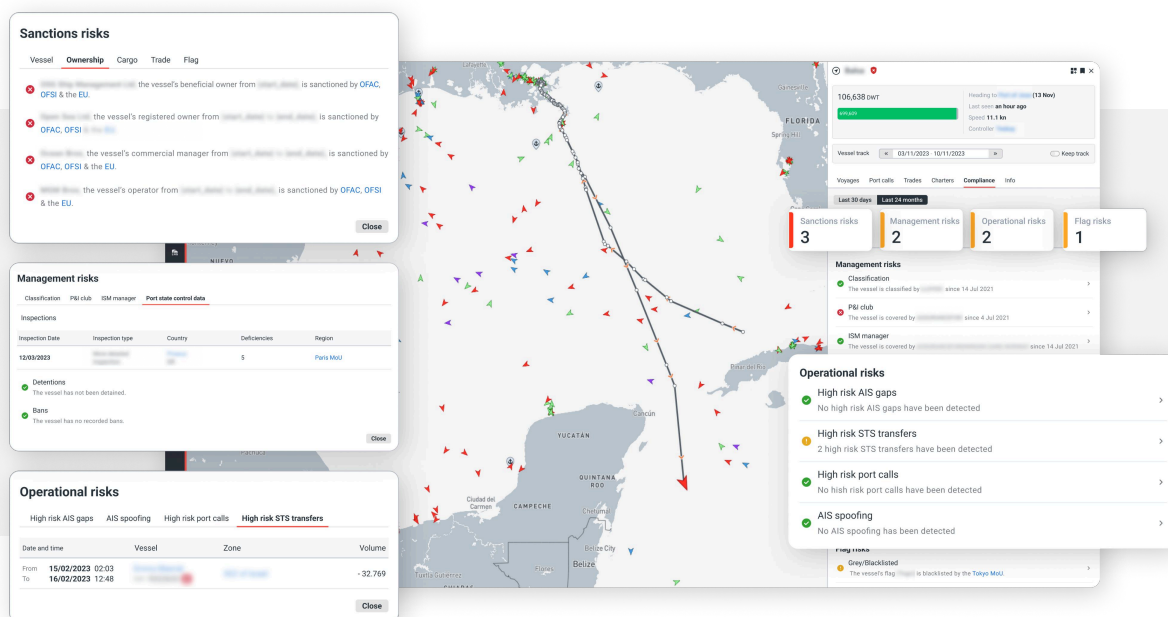
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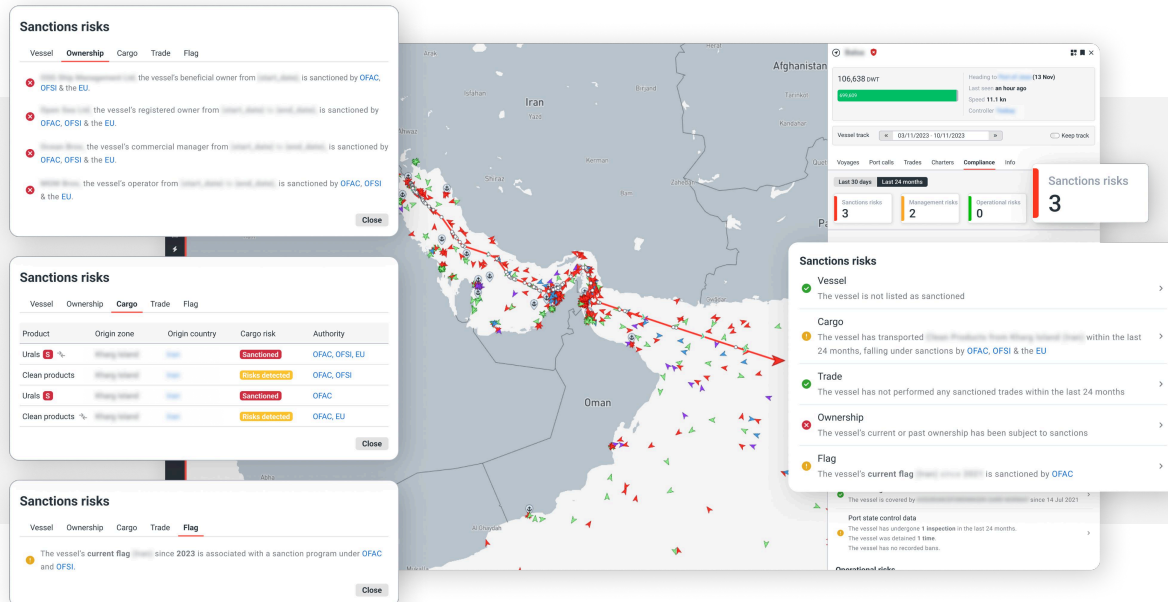
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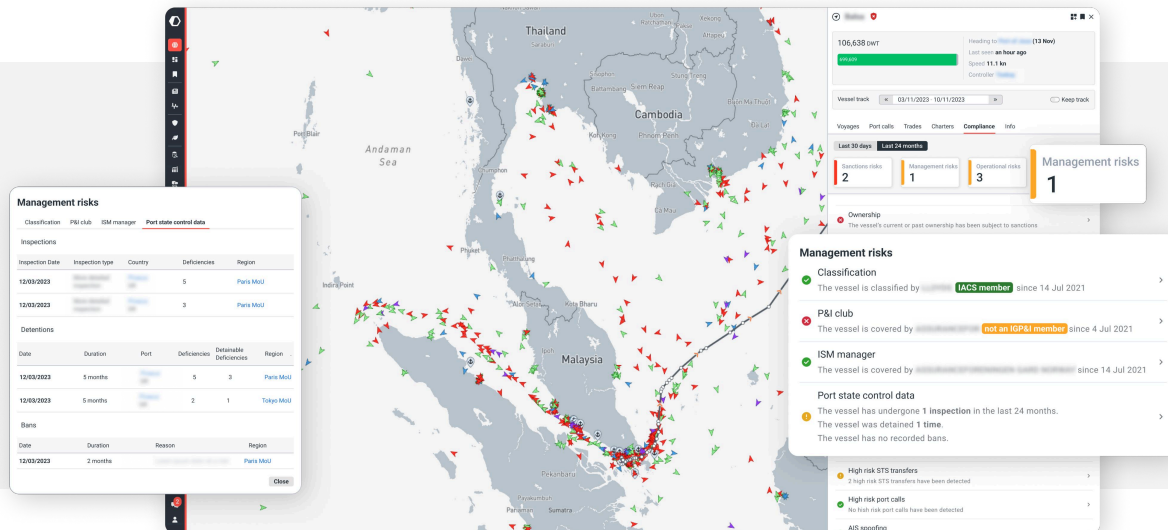
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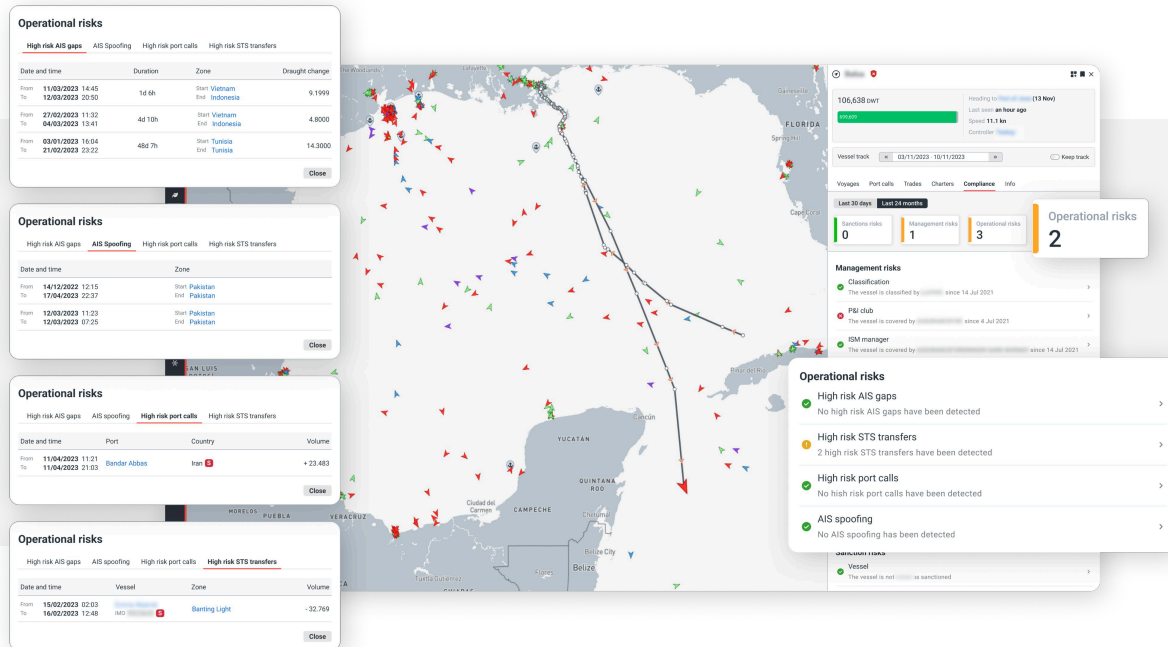
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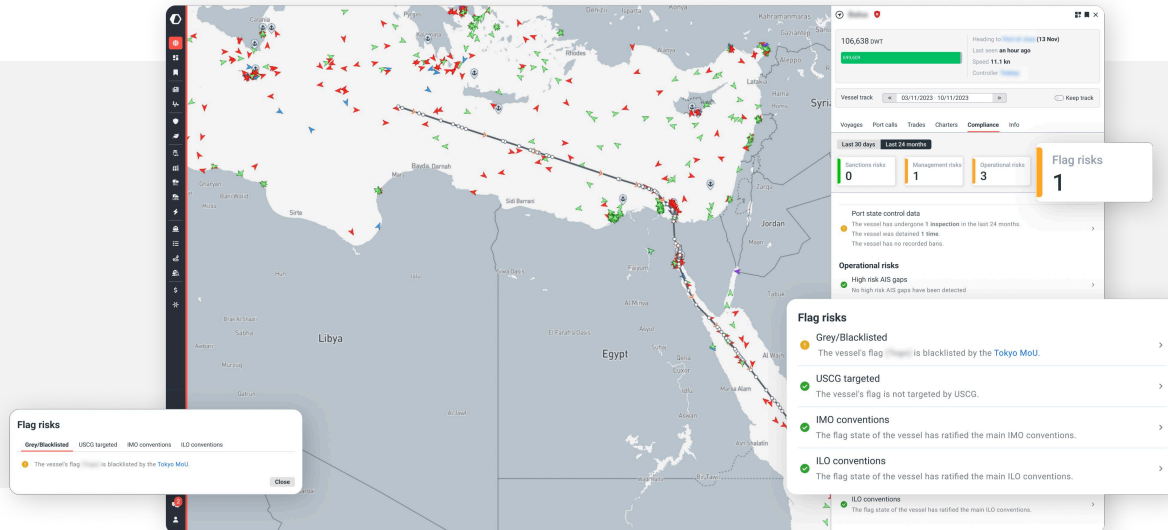
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# About Kpler

Kpler is shaping the future of global trade intelligence, empowering businesses to make informed decisions, and creating high-performing products for our valued customers.

Our dedicated team spans the globe, unified by our shared objective of creating a singular platform for global trade intelligence. Each day, we strive to surpass boundaries, leveraging our collective ingenuity and boldness to stay ahead in an ever-changing industry.

The remarkable success of the technology-driven solutions led the industry to ask for more.

Expanding the scope across **40 distinct commodities, including gases, liquids, and dry bulk**, Kpler ventured into new areas of the supply chain to provide a more complete picture of **supply & demand** with **freight** and **inventory data**, as well as **analytics** offerings with research and news.

## | Take the next step

Are you interested in learning more? Our team can take you through a product demo and set you up with a complimentary trial.

[Request a demo](#)

kpler



kpler

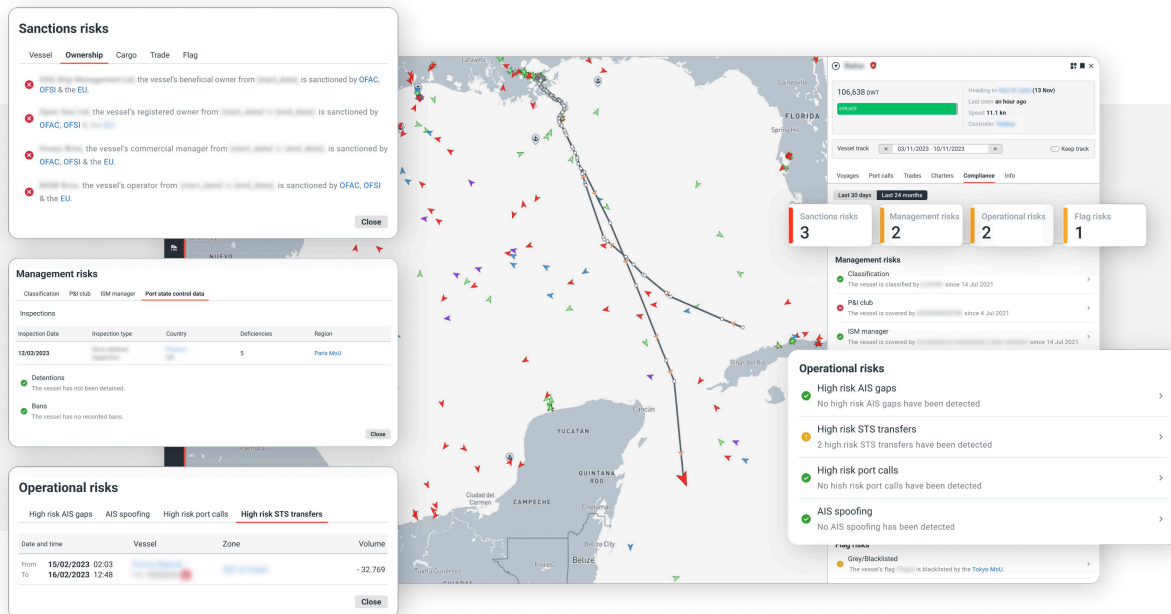
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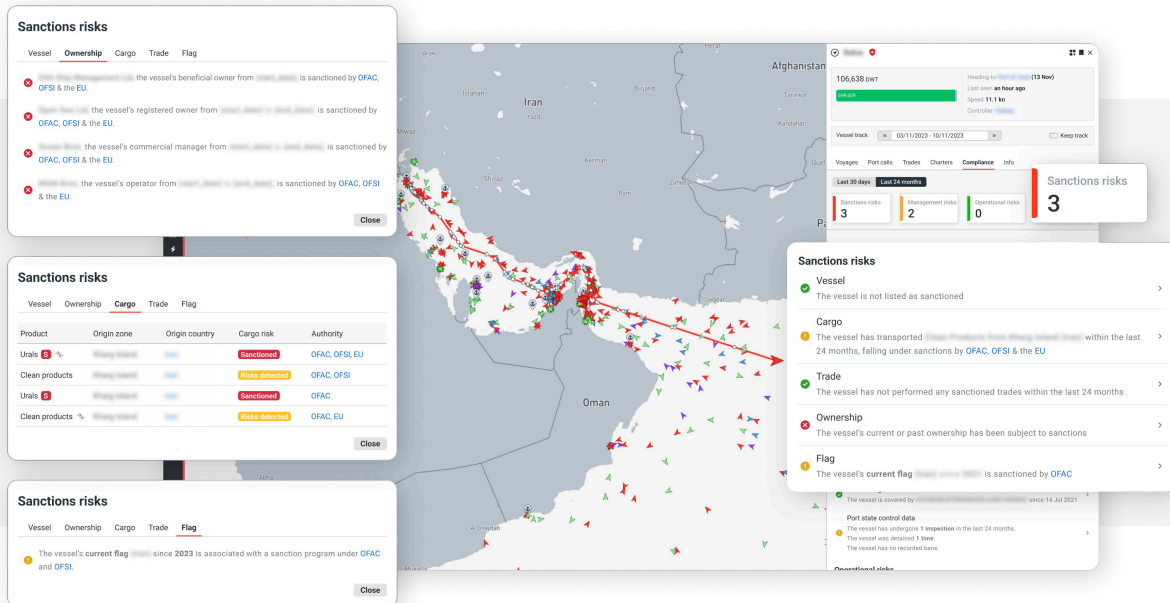
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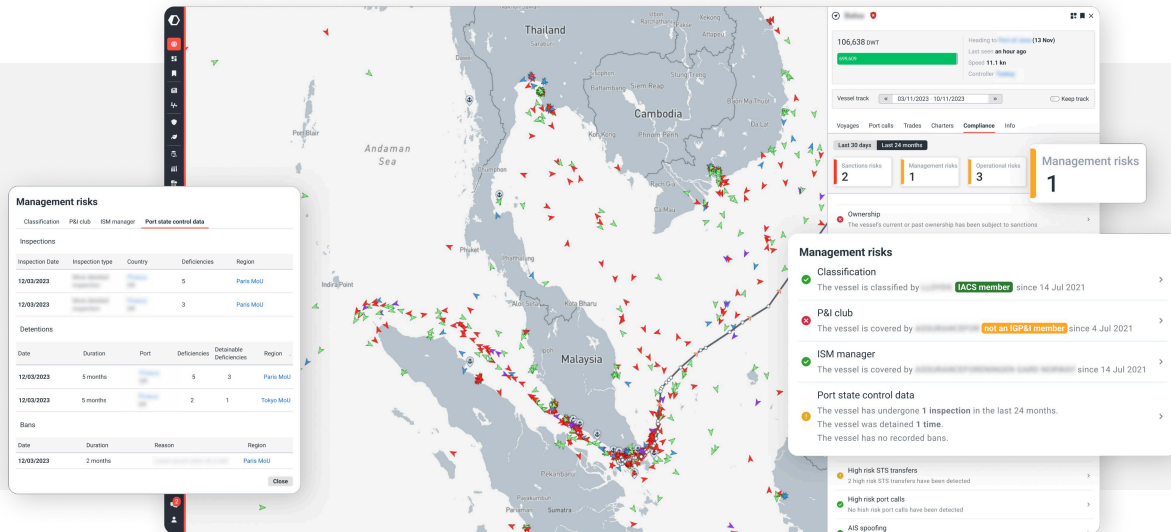
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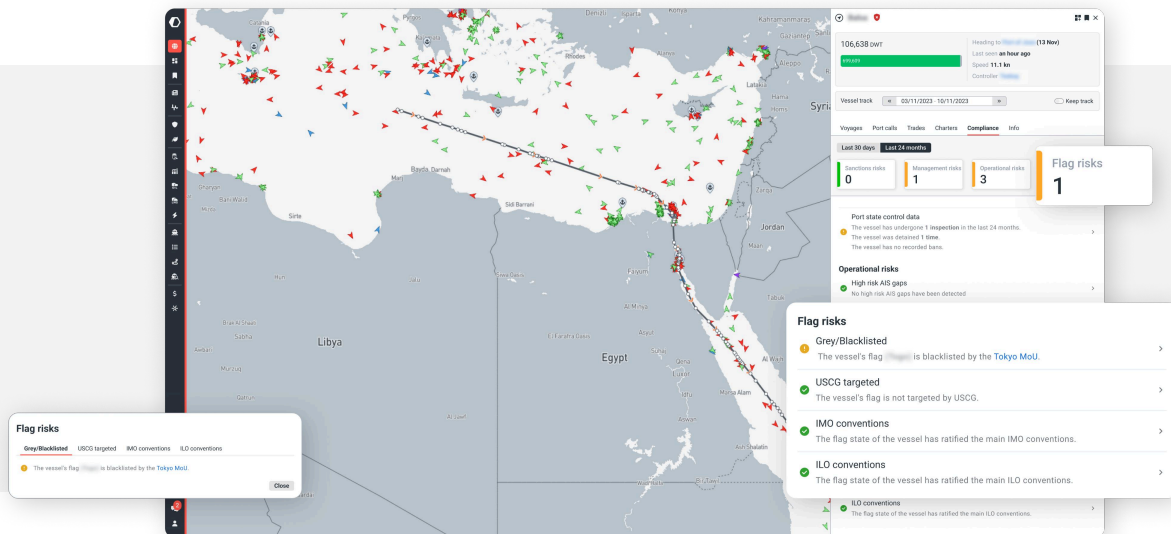
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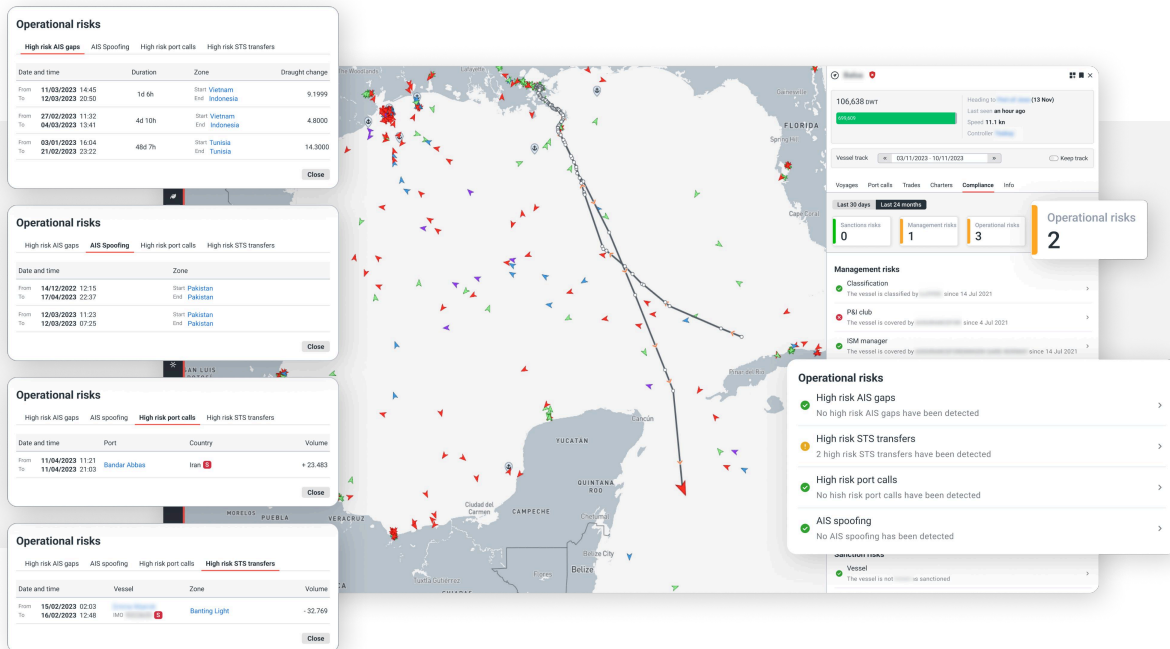
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